

# PERTURBATION ESTIMATION BASED ROBUST PASSIVE CONTROL OF PERMANENT MAGNETIC SYNCHRONOUS GENERATOR FOR OPTIMAL POWER EXTRACTION

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REFERENCE NO	ABSTRACT
MISC-01	This paper designs a perturbation estimation based robust passive control (PERPC) scheme of permanent magnetic synchronous generator (PMSG) to achieve optimal power extraction. The generator nonlinearities, parameter uncertainties, and unmodelled dynamics are aggregated into a perturbation which is then estimated in the real-time by a high-gain state and perturbation observer (HGSPPO). Then, the estimated perturbation is fully compensated by a passive controller, such that a considerable robustness and improved system damping can be simultaneously realized. Case studies including step change of wind speed, random wind speed variation, and generator parameter uncertainties have been undertaken. Simulation results verify the effectiveness and superiority of PERPC compared to that of conventional vector control (VC) and feedback linearization control (FLC).

*Keywords:*  
PMSG, optimal power extraction, perturbation observer, robust passive control, wind energy conversion system

## Nomenclature

<i>Variables</i>		<i>Abbreviations</i>	
$v_{wind}$	wind velocity	MPPT	maximum power point tracking
$\rho$	air density	PMSG	permanent magnetic synchronous generator
$C_P$	power coefficient	VC	vector control
$\lambda$	tip-speed-ratio	HGSPPO	high-gain state and perturbation observer
$\beta$	blade pitch angle	HGPO	high-gain perturbation observer
$T_e$	electromagnetic torque	SPWM	sinusoidal pulse width modulation
$T_m$	mechanical torque	VSC	voltage source converter
$\omega_e$	electrical rotation speed	PID	proportional-integral-derivative
$\omega_m$	mechanical rotation speed of turbine	FLC	feedback linearization control
$V_d, V_q$	dq-axis stator voltages	PERPC	perturbation estimation based robust passive control
$i_d, i_q$	dq-axis currents	WECS	Wind energy conversion system
<i>System parameters</i>		<i>The control parameters of PERPC</i>	
$L_d, L_q$	dq-axis inductances	$\lambda_1, \lambda_2$	energy shaping coefficients
$p$	the number of pole pairs	$a_i$	Luenberger observer gains
$R$	turbine radius	$k_{11}, k_{21}, k_{22}$	control gains
$J_{tot}$	total inertia of the drive train	$v_1, v_2$	additional control inputs
$D$	viscous damping coefficient	$\epsilon_1, \epsilon_2$	thickness layer boundary of observer
$R_s$	stator resistance	$B_0$	constant control gain

## 1. INTRODUCTION

The fast depletion of conventional fossil fuels (coal, gas, oil) and ever-growing population around the globe have driven the modern power industry to exploit the renewable energy, e.g., wind, solar, biomass, tidal, geothermal, etc.[1]. Among which wind energy conversion system (WECS) is one of the most widely used sustainable energy form thanks to the abundance and cleanness of wind in nature [2].

Currently, there are mainly two types of wind generators, i.e., doubly-fed induction generator (DFIG) [3] and permanent magnetic synchronous generator (PMSG) [4]. In the past decade, PMSG has gained considerable attentions in both industry and academics thanks to its simple structure and gearless construction. At the moment, one of the major tasks of PMSG control system design is to optimally extract the wind energy under various wind profiles, also called maximum power point tracking (MPPT), such that high energy conversion efficiency could be realized.

Conventional vector control (VC) incorporated with proportional-integral-derivative (PID) loops are widely employed for PMSG due to its easy implementation and high reliability [5]. However, its control performance may undesirably degrade or even result in a system stability collapse when operation conditions vary significantly as its control parameters are determined through the one-point linearization model of the original PMSG system, which is however highly nonlinear due to the converter dynamics and often operate in a large range caused by the stochastic wind speed, hence the MPPT performance of VC is generally not optimal.

In order to handle the above challenge, plenty of advanced approaches have been proposed. In reference [6], a feedback linearization control (FLC) was designed to fully compensate the PMSG nonlinearities, such that a globally consistent control performance can be achieved. However, it requires an accurate system model which is however impossible in practice. Thus, several robust/adaptive control strategies have been investigated to remedy such shortcomings. An enhanced exponential reaching law based sliding-mode control (SMC) was devised for PMSG to enhance total harmonic distortion property with improved robustness against to parameter uncertainties [7]. Furthermore, a robust nonlinear predictive control (RNPC) was reported in [8] to simultaneously achieve MPPT and battery charging regardless of the presence of disturbances. Besides, a model predictive control (MPC) and dead-beat predictive control strategies were employed to forecast the possible future behaviour of the control variables of PMSG, such that a more optimal control performance can be achieved, together with enhanced robustness against to modelling uncertainties [9]. In addition, reference [10] developed a nonlinear Luenberger-like observer which aims to estimate the mechanical variables by only the measurement of electrical variables of PMSG for MPPT. Meanwhile, an active disturbance rejection control (ADRC) was developed to fully compensate the lumped disturbances in the real-time thus MPPT could be achieved [11].

Nevertheless, the aforementioned approaches merely regard the PMSG control as a pure mathematical problem while its physical meaning/nature is somehow ignored.

Passivity provides a power tool to analyse the essential physical property of a given engineering problem by carefully examining the storage function of the controlled system, upon energy reshaping, to improve the system damping [12]. Based on passivity theory, an interconnection and damping assignment passivity-based control (IDA-PBC) scheme was proposed in [13] to achieve a satisfactory MPPT of PMSG under severe wind speed variations. However, such strategy still needs an accurate system model. To handle this issue, an adaptive passivity-based control (APBC) [14] was applied, which employs a wind speed estimator to enhance the robustness of the PMSG system in the presence of uncertain generator parameters.

Thus far, how to effectively and efficiently handle various types of uncertainties of PMSG system with the exploitation of PBC still remains challenging. Hence, this paper attempts to propose a perturbation estimation based robust passive control (PERPC) scheme to resolve such obstacle by the use of a linear perturbation observer (PO) called high-gain state and perturbation observer (HGSPPO) [15,16]. Under such framework, the PMSG nonlinearities, parameter uncertainties, and unmodelled dynamics are aggregated into a perturbation which is rapidly estimated by HGSPPO in the real-time. Then, the estimated perturbation is fully compensated by a passive controller to accomplish a robust passive control strategy, which can therefore own the damping enhancement of PBC and robustness improvement of PO based control simultaneously. Three case studies are undertaken, including step change of wind speed, random wind speed variation, and generator parameter uncertainties. Simulation results verify the effectiveness of PERPC.

The remaining of this paper is organized as follows: Section 2 develops the PMSG model. Section 3 is devoted to present the PERPC, which is applied on PMSG for MPPT in Section 4. In Section 5, case studies are carried out while Section 6 concludes the paper with some remarkable contributions.

## 2. PMSG MODELLING

The configuration of PMSG based WECS is illustrated by Fig. 1. Here, the generator-side VSC attempts to realize MPPT via adjusting

the mechanical rotation speed. As this paper focuses on the MPPT of PMSG, the grid-side VSC modelling is ignored.

The wind turbine model can be described by a power coefficient  $C_p(\lambda, \beta)$ , which is usually an algebraic function of both blade pitch angle  $\beta$  and tip-speed-ratio  $\lambda$ , with  $\lambda$  being defined by [17,18]

$$\lambda = \frac{\omega_m R}{v_{\text{wind}}} \quad (1)$$

where  $\omega_m$  denotes the mechanical rotation speed of wind turbine and  $v_{\text{wind}}$  represents the wind speed; and  $R$  represents the blade radius of wind turbine, respectively. A generic equation employed to describe the power coefficient  $C_p(\lambda, \beta)$  can be usually written as

$$C_p(\lambda, \beta) = c_1 \left( \frac{c_2}{\lambda_i} - c_3 \beta - c_4 \right) e^{-\frac{c_5}{\lambda_i}} \quad (2)$$

with

$$\frac{1}{\lambda_i} = \frac{1}{\lambda + 0.08\beta} - \frac{0.035}{\beta^3 + 1} \quad (3)$$

where the coefficients  $c_1$  to  $c_5$  are chosen as  $c_1=0.22$ ,  $c_2=116$ ,  $c_3=0.4$ ,  $c_4=5$ , and  $c_5=12.5$ , respectively [17,18].

In addition, the mechanical power extracted by the wind turbine from the wind energy is obtained as

$$P_m = \frac{1}{2} \rho \pi R^2 C_p(\lambda, \beta) v_{\text{wind}}^3 \quad (4)$$

where  $\rho$  denotes the air density. Note that during MPPT the wind turbine only operates in the sub-rated speed range hence its pitch control is deactivated for the whole operation of PMSG.

The dynamics of PMSG in the d-q reference frames are written as [17,18]

$$V_d = i_d R_s + L_d \frac{di_d}{dt} - \omega_e L_q i_q \quad (5)$$

$$V_q = i_q R_s + L_q \frac{di_q}{dt} + \omega_e (L_d i_d + K_e) \quad (6)$$

$$T_e = p[(L_d - L_q)i_d i_q + i_q K_e] \quad (7)$$

where  $V_d$  and  $V_q$  represent the d-q axis stator voltages;  $i_d$  and  $i_q$  denote the d-q axis currents;  $L_d$  and  $L_q$  mean the d-q axis inductances; electrical rotation speed  $\omega_e = p\omega_m$ ;  $K_e$  is the permanent magnetic flux of magnets;  $R_s$  is the stator resistance; and  $p$  is the number of pole pairs, respectively.

The dynamics of mechanical shaft system and mechanical torque of PMSG are given by

$$J_{\text{tot}} \frac{d\omega_m}{dt} = T_m - T_e - D\omega_m \quad (8)$$

$$T_m = \frac{1}{2} \rho \pi R^5 \frac{C_p(\lambda, \beta)}{\lambda^3} \omega_m^2 \quad (9)$$

where  $J_{\text{tot}}$  represents the total inertia of the drive train which lumps the generator inertia and wind turbine inertia; the viscous damping coefficient  $D=0$ ; and  $T_m$  denotes the mechanical torque of the wind turbine, respectively. In addition, active power is calculated as

$$P_e = T_e \omega_e \quad (10)$$

where  $T_e$  represents the electromagnetic torque.

In order to achieve MPPT, the power coefficient  $C_p(\lambda, \beta)$  should be maintained at its maximum point  $C_p^*$  at various wind speed. Here, the pitch angle is taken as  $\beta = 2^\circ$ , the optimal tip-speed-ratio  $\lambda^* = 7.4$  while maximum power coefficient  $C_p^* = 0.4019$  [17,18].

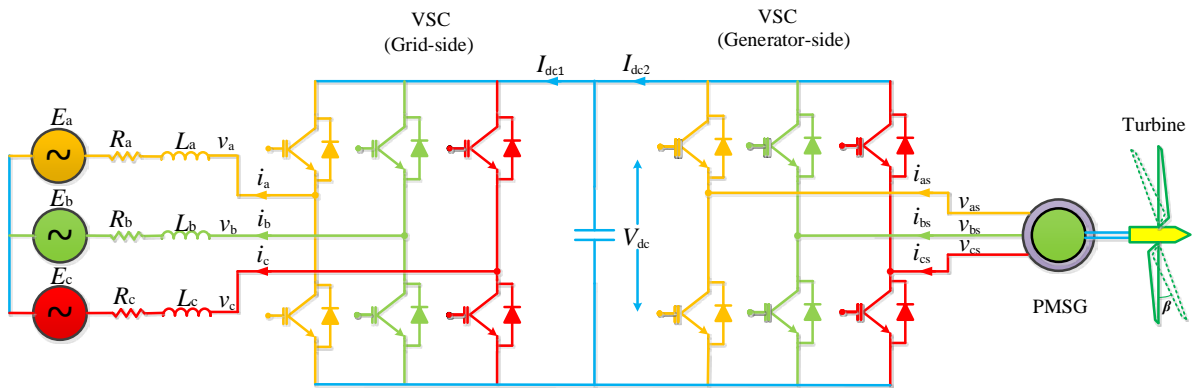


Fig. 1. Configuration of a PMSG based WECS.

### 3. METHODOLOGY

Consider an uncertain nonlinear system which has the following canonical form

$$\begin{cases} \dot{\mathbf{x}} = A\mathbf{x} + B(a(x) + b(x)u + d(t)) \\ y = x_1 \end{cases} \quad (11)$$

where  $\mathbf{x} = [x_1, x_2, \dots, x_n]^T \in \mathbf{R}^n$  is the state variable vector;  $\mathbf{u} \in \mathbf{R}$  and  $\mathbf{y} \in \mathbf{R}$  are the control input and system output, respectively;  $a(x): \mathbf{R}^n \mapsto \mathbf{R}$  and  $b(x): \mathbf{R}^n \mapsto \mathbf{R}$  are unknown smooth functions; and  $d(t): \mathbf{R}^+ \mapsto \mathbf{R}$  represents a time-varying external disturbance. The  $n \times n$  matrix  $A$  and  $i \times i$  matrix  $B$  are of the canonical form as follows

$$A = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1 \\ 0 & 0 & 0 & \dots & 0 \end{bmatrix}_{n \times n}, B = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ 1 \end{bmatrix}_{n \times 1} \quad (12)$$

The perturbation of system (1) is defined as [1,3,15,16]

$$\boldsymbol{\psi}(\mathbf{x}, \mathbf{u}, t) = \mathbf{a}(\mathbf{x}) + (\mathbf{b}(\mathbf{x}) - \mathbf{b}_0)\mathbf{u} + \mathbf{d}(t) \quad (13)$$

where  $\mathbf{b}_0$  is the constant control gain.

From the original system (11), the last state  $x_n$  can be rewritten in the presence of perturbation (13), gives

$$\dot{x}_n = \mathbf{a}(\mathbf{x}) + (\mathbf{b}(\mathbf{x}) - \mathbf{b}_0)\mathbf{u} + \mathbf{d}(t) + \mathbf{b}_0\mathbf{u} = \boldsymbol{\psi}(\mathbf{x}, \mathbf{u}, t) + \mathbf{b}_0\mathbf{u} \quad (14)$$

Define a fictitious state (extended state)  $\mathbf{x}_{n+1} = \boldsymbol{\psi}(\mathbf{x}, \mathbf{u}, t)$ . Then, system (11) can be directly extended into

$$\begin{cases} \mathbf{y} = \mathbf{x}_1 \\ \dot{\mathbf{x}}_1 = \mathbf{x}_2 \\ \vdots \\ \dot{\mathbf{x}}_n = \mathbf{x}_{n+1} + \mathbf{b}_0\mathbf{u} \\ \dot{\mathbf{x}}_{n+1} = \dot{\boldsymbol{\psi}}(\cdot) \end{cases} \quad (15)$$

The new state vector can be rewritten as  $\mathbf{x}_e = [x_1, x_2, \dots, x_n, x_{n+1}]^T$ , together with the following two assumptions being made [15,16]

**A.1**  $\mathbf{b}_0$  is chosen to satisfy  $|\mathbf{b}(\mathbf{x})/\mathbf{b}_0 - 1| \leq \theta < 1$ , where  $\theta$  is a positive constant.

**A.2** The function  $\boldsymbol{\psi}(\mathbf{x}, \mathbf{u}, t): \mathbf{R}^n \times \mathbf{R} \times \mathbf{R}^+ \mapsto \mathbf{R}$  and  $\dot{\boldsymbol{\psi}}(\mathbf{x}, \mathbf{u}, t): \mathbf{R}^n \times \mathbf{R} \times \mathbf{R}^+ \mapsto \mathbf{R}$  are bounded over the domain of interest  $|\boldsymbol{\psi}(\mathbf{x}, \mathbf{u}, t)| \leq r_1$ ,  $|\dot{\boldsymbol{\psi}}(\mathbf{x}, \mathbf{u}, t)| \leq r_2$  with  $\boldsymbol{\psi}(\mathbf{0}, \mathbf{0}, \mathbf{0}) = \mathbf{0}$  and  $\dot{\boldsymbol{\psi}}(\mathbf{0}, \mathbf{0}, \mathbf{0}) = \mathbf{0}$ , where  $\gamma_1$  and  $\gamma_2$  are positive constants.

Throughout this paper,  $\tilde{\mathbf{x}} = \mathbf{x} - \hat{\mathbf{x}}$  refers to the estimation error of  $x$  whereas  $\hat{\mathbf{x}}$  represents the estimate of  $x$ , while  $\mathbf{x}^*$  denotes the reference of  $x$ . Consider the worst case, e.g.,  $y=x_1$  is the only

measurable state, an  $(n+1)$ th-order HGSPPO for the extended system (15) is used to simultaneously estimate all unmeasurable states and perturbation, as follows [15,16]

$$\dot{\hat{\mathbf{x}}}_e = A_0\hat{\mathbf{x}}_e + B_1\mathbf{u} + H(x_1 - \hat{x}_1) \quad (16)$$

where  $H = [\alpha_1/\varepsilon, \alpha_2/\varepsilon^2, \dots, \alpha_n/\varepsilon^n, \alpha_{n+1}/\varepsilon^{n+1}]^T$  is the observer gain;  $0 \leq \varepsilon \leq 1$  represents the thickness layer boundary of HGSPPO; and Luenberger observer gains  $\alpha_i$ ,  $i = 1, 2, \dots, n+1$ , are chosen to allocate the poles of polynomial  $s_{n+1} + \alpha_1 s_n + \alpha_2 s_{n-1} + \dots + \alpha_{n+1} = (s + \lambda_\alpha)^{n+1} = 0$  being in the open left-half complex plane at  $-\lambda_\alpha$ , with

$$\alpha_i = C_{n+1}^i \lambda_\alpha^i, i = 1, 2, \dots, n+1. \quad (17)$$

Apply the estimate of states and perturbation, the PERPC for the original system (11) is designed as

$$\begin{cases} \mathbf{u} = b_0^{-1}(-\hat{\boldsymbol{\psi}}(\cdot) - K(\hat{\mathbf{x}} - \mathbf{x}^*) + v) \\ v = -\phi(y) \end{cases} \quad (18)$$

where  $v$  is an additional input;  $\phi(y)$  is any smooth function satisfying  $\phi(0) = 0$  and  $y\phi(y) > 0$  for all  $y \neq 0$ , such that the closed-loop system can be transformed into output strictly passive system [12]; and  $K = [k_1, k_2, \dots, k_n]$  is the feedback control gain, which makes matrix  $A_1 = A - BK$  Hurwitzian.

### 4. PERPC DESIGN FOR PMSG

Define the tracking error  $e = [e_1, e_2]^T = [i_d - i_d^*, \omega_m - \omega_m^*]^T$ , with  $i_d^*$  and  $\omega_m^*$  being the references of d-axis current and mechanical rotation speed, respectively. Differentiate the tracking error until the control input  $\mathbf{u} = [u_1, u_2]^T = [V_d, V_q]^T$  appears explicitly, yields

$$\begin{bmatrix} \dot{e}_1 \\ \dot{e}_2 \end{bmatrix} = \begin{bmatrix} f_1(\cdot) \\ f_2(\cdot) \end{bmatrix} + B \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} - \begin{bmatrix} i_d^* \\ \dot{\omega}_m^* \end{bmatrix} \quad (19)$$

where

$$f_1(x) = -\frac{R_s}{L_d} i_d + \frac{\omega_e L_q}{L_d} i_q \quad (20)$$

$$f_2(x) = \frac{\dot{T}_m}{J_{tot}} - \frac{p i_q}{J_{tot} L_q} (L_d - L_q) (-R_s i_d + L_q \omega_e i_q) + \frac{p}{J_{tot} L_q} [K_e + (L_d - L_q) i_d] (L_d \omega_e i_d + R_s i_q + \omega_e K_e) \quad (21)$$

with

$$B(x) = \begin{bmatrix} \frac{1}{L_d} & 0 \\ -\frac{p i_q}{J_{tot} L_d} (L_d - L_q) & -\frac{p}{J_{tot} L_q} [K_e + (L_d - L_q) i_d] \end{bmatrix} \quad (22)$$

The inverse of control gain matrix  $B(x)$  can be calculated, as follows

$$B^{-1}(x) = \begin{bmatrix} L_d & 0 \\ -\frac{i_q L_q (L_d - L_q)}{K_e + (L_d - L_q) i_d} & -\frac{J_{tot} L_q}{p [K_e + (L_d - L_q) i_d]} \end{bmatrix} \quad (23)$$

In order to ensure the above input-output linearization to be valid, control gain matrix  $B(x)$  is required to be nonsingular among the whole operation range of PMSG, it obtains

$$\det[B(x)] = -\frac{p [K_e + (L_d - L_q) i_d]}{J_{tot} L_d L_q} \neq 0 \quad (24)$$

which can be always satisfied when  $K_e \neq -(L_d - L_q)i_d$ .

Assume all generator nonlinearities and parameters are uncertain, define the perturbations  $\psi_1(\cdot)$  and  $\psi_2(\cdot)$  for system (19) as

$$\begin{bmatrix} \psi_1(\cdot) \\ \psi_2(\cdot) \end{bmatrix} = \begin{bmatrix} f_1(x) \\ f_2(x) \end{bmatrix} + (B(x) - B_0) \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} \quad (25)$$

with the constant control gain matrix  $B_0$  being given by

$$B_0 = \begin{bmatrix} b_{11} & 0 \\ 0 & b_{22} \end{bmatrix} \quad (26)$$

where  $b_{11}$  and  $b_{22}$  represent constant control gains. Here, matrix  $B_0$  is chosen in the diagonal form so as to fully decouple the control of d-axis current and mechanical rotation speed.

Therefore, tracking error dynamics (19) can be rewritten in terms of perturbation by

$$\begin{bmatrix} \dot{e}_1 \\ \dot{e}_2 \end{bmatrix} = \begin{bmatrix} \psi_1(\cdot) \\ \psi_2(\cdot) \end{bmatrix} + B_0 \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} - \begin{bmatrix} \dot{i}_d^* \\ \dot{\omega}_m^* \end{bmatrix} \quad (27)$$

A second-order high-gain perturbation observer (HGPO) is employed to estimate perturbation  $\psi_1(\cdot)$  as

$$\begin{cases} \dot{\hat{i}}_d = \hat{\psi}_1(\cdot) + \frac{\alpha_{11}}{\epsilon_1} (i_d - \hat{i}_d) + b_{11}u_1 \\ \dot{\hat{\psi}}_1(\cdot) = \frac{\alpha_{12}}{\epsilon_1^2} (i_d - \hat{i}_d) \end{cases} \quad (28)$$

where Luenberger observer gains  $\alpha_{11}$  and  $\alpha_{12}$  are all positive constants, with  $0 \leq \epsilon_1 \leq 1$ .

Meanwhile, a third-order HGPO is employed to estimate perturbation  $\psi_2(\cdot)$  as

$$\begin{cases} \dot{\hat{\omega}}_m = \hat{\omega}_m + \frac{\alpha_{21}}{\epsilon_2} (\omega_m - \hat{\omega}_m) \\ \dot{\hat{\psi}}_2(\cdot) = \frac{\alpha_{22}}{\epsilon_2^2} (\omega_m - \hat{\omega}_m) + b_{22}u_2 \\ \dot{\hat{\psi}}_2(\cdot) = \frac{\alpha_{23}}{\epsilon_2^3} (\omega_m - \hat{\omega}_m) \end{cases} \quad (29)$$

where observer gains  $\alpha_{21}$ ,  $\alpha_{22}$ , and  $\alpha_{23}$  are all positive constants, with  $0 \leq \epsilon_2 \leq 1$ .

The PERPC for PMSG system (19) is designed as

$$\begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = B_0^{-1} \begin{bmatrix} -\hat{\psi}_1(\cdot) - k_{11}(\hat{i}_d - i_d^*) + v_1 \\ -\hat{\psi}_2(\cdot) - k_{21}(\hat{\omega}_m - \omega_m^*) - k_{22}(\hat{\omega} - \dot{\omega}_m^*) + v_2 \end{bmatrix} \quad (30)$$

with

$$\begin{cases} v_1 = -\lambda_1(i_d - i_d^*) \\ v_2 = -\lambda_2(\omega_m - \omega_m^*) \end{cases} \quad (31)$$

where control gains  $k_{11}$ ,  $k_{21}$  and  $k_{22}$  are selected to guarantee the closed-loop system is stable. The energy reshaping coefficients of additional inputs  $\lambda_1$  and  $\lambda_2$  are chosen to inject extra system damping into the closed-loop system.

To this end, the overall control structure of PERPC (30) and (31) for PMSG system (19) is illustrated by Fig. 2. In particular, only the d-axis current  $i_d$  and mechanical rotation speed  $\omega_m$

needs to be measured. Finally, the calculated control inputs are modulated by the sinusoidal pulse width modulation (SPWM) technique [19].

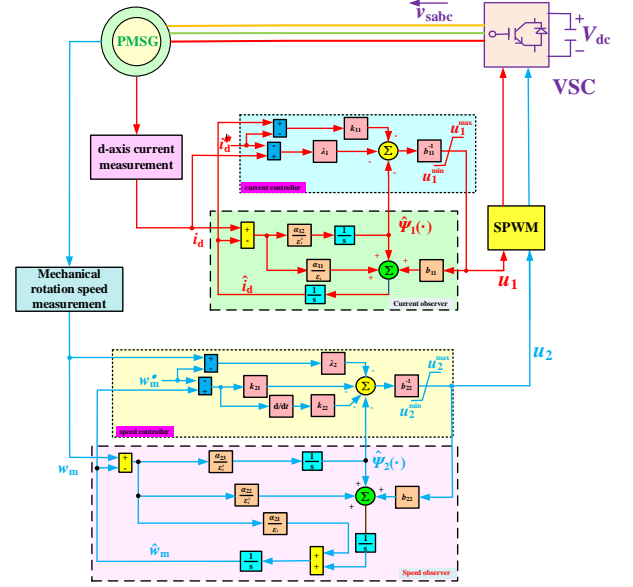


Fig.2. Overall PERPC structure of PMSG for MPPT.

## 5. CASE STUDIES

The proposed PERPC is applied on PMSG for MPPT, which control performance is compared to that of VC [7] and FLC [21], under three scenarios, e.g., (i) Step change of wind speed; (ii) Random wind speed variation; and (iii) Generator parameter uncertainties. Consider the control inputs may exceed the admissible capacity of VSC at some operation points, hence their values must be limited, i.e.,  $u_1 \in [-0.25, 0.25]$  per unit (p.u.) and  $u_2 \in [-0.85, 0.85]$  p.u.. Furthermore, the PMSG system parameters and PERPC parameters are tabulated in Table 1 and Table 2, respectively.

Table 1. The PMSG system parameters

PMSG rated power	$P_{base}$	2 MW	Field flux	$K_e$	136.25 V·s/rad
Radius of wind turbine	$R$	39 m	Pole pairs	$p$	11
d-axis stator inductance	$L_d$	5.5 mH	Air density	$\rho$	1.205 kg/m <sup>3</sup>
q-axis stator inductance	$L_q$	3.75 mH	Rated wind speed	$v_{wind}$	12 m/s
Total inertia	$J_{tot}$	10000 kg·m <sup>2</sup>	Stator resistance	$R_s$	50 $\mu\Omega$

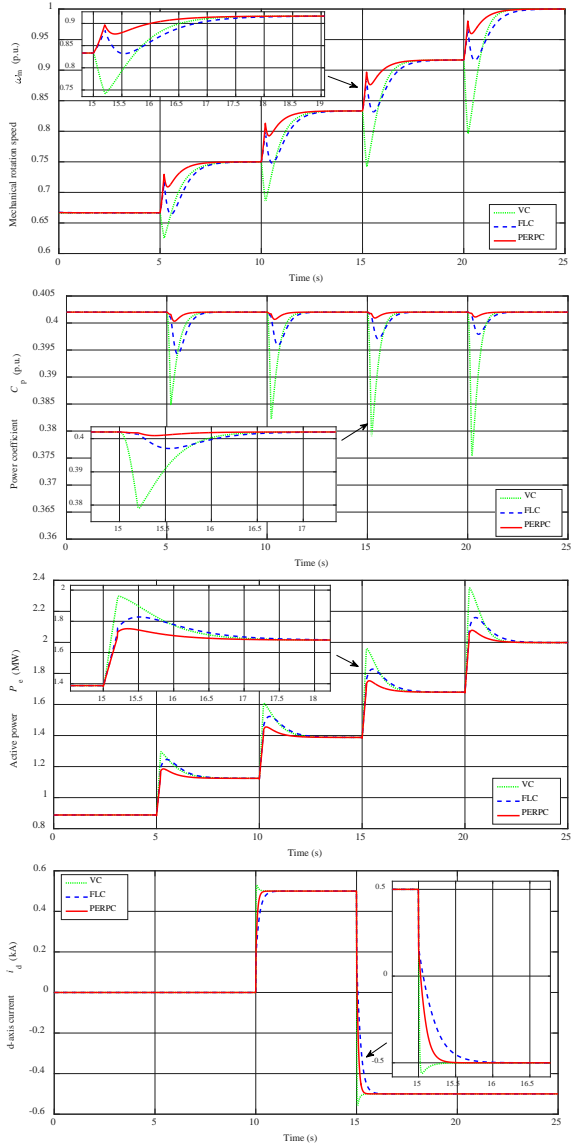
Table 2. The control parameters of PERPC

Mechanical rotation speed control loop	$b_{11} = -1500$	$\alpha_{11} = 40$	$\alpha_{12} = 400$	$\epsilon_1 = 0.1$
	$k_{11} = 20$	$\lambda_1 = 15$		
d-axis current control loop	$b_{22} = -800$	$\alpha_{21} = 30$	$\alpha_{22} = 300$	$\alpha_{23} = 1000$
	$k_{21} = 25$	$k_{22} = 100$	$\lambda_2 = 20$	$\epsilon_2 = 0.1$

### 5.1. Step change of wind speed

Four consecutive step changes of wind speed are simulated which mimics a series of gust, while a step change of d-axis current is also applied. The system responses are depicted in Fig. 3. One can

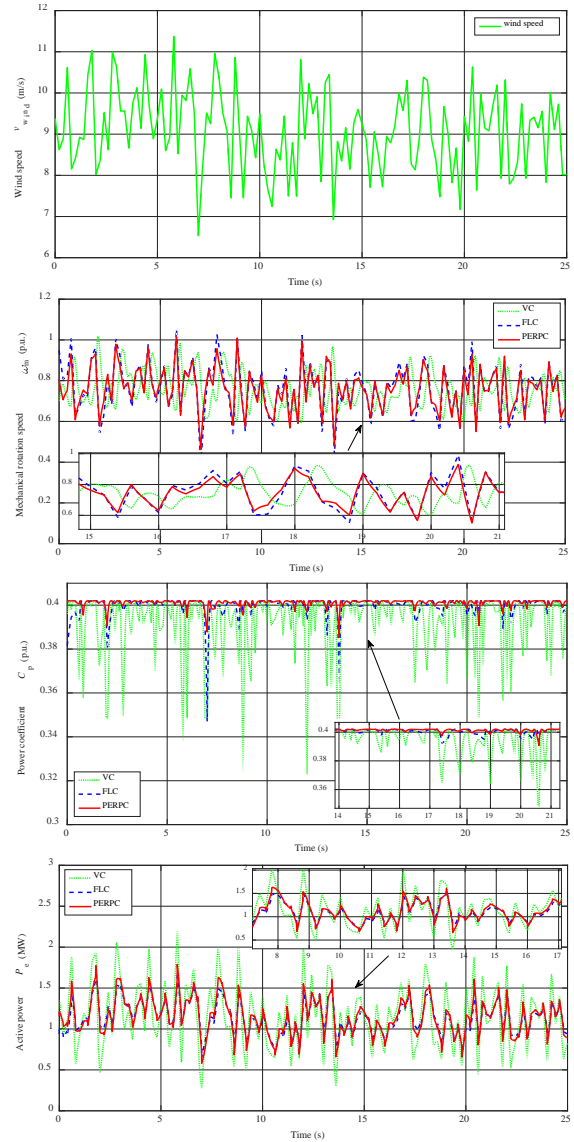
find that PERPC can extract the maximum power from wind as its power coefficient remains closest to the optimum value. In contrast, VC performance degrades at different wind speed as its control parameters are determined by one-point linearization. Moreover, the d-axis current is fully decoupled from mechanical rotational speed and PERPC can regulate the d-axis current at the fastest rate without any overshoot.



**Fig. 3.** System responses obtained under a series of step changes of wind speed.

### 5.2. Random wind speed variation

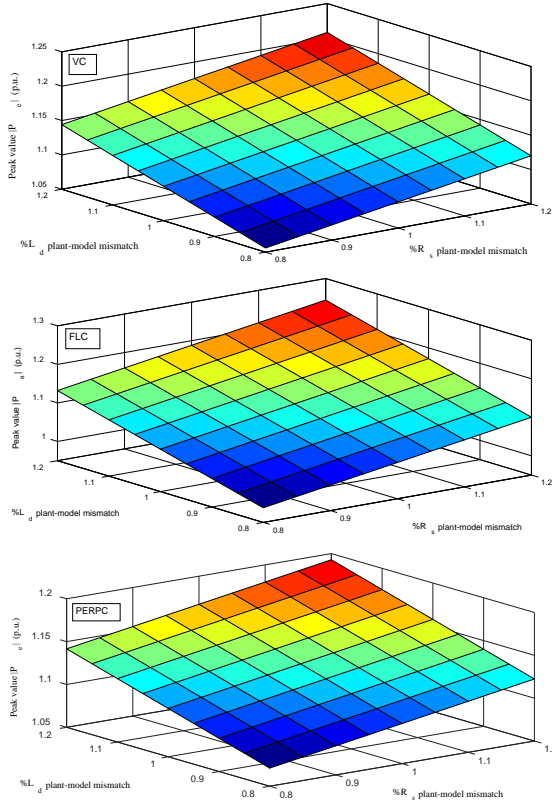
A random wind speed variation is applied to test the control performance of each controller, the obtained results are shown in Fig. 4. It is clear that PERPC outperforms other approaches in terms of the fastest optimal power tracking thanks to the real-time perturbation compensation and damping injection.



**Fig. 4.** System responses obtained under random wind speed variation.

### 5.3. Generator parameter uncertainties

In order to evaluate the robustness of each approach against to generator parameter uncertainties, a series of plant-model mismatches of stator resistance  $R_s$  and d-axis inductance  $L_d$  with  $\pm 20\%$  variation around their nominal value are undertaken, in which a 1 m/s step increase of wind speed from the rated value (12 m/s) is applied and the absolute peak value of active power  $|P_e|$  is recorded. Fig. 5 demonstrates that the variation of  $|P_e|$  obtained by VC, FLC and PERPC is 15.9%, 26.1%, 11.6%, respectively. One can find that PERPC offers the greatest robustness in the presence of generator parameter uncertainties among all approaches thanks to the real-time perturbation compensation.



**Fig. 5.** Peak value of active power  $|P_e|$  obtained under a 1 m/s step increase of wind speed from the rated value (12 m/s) with 20% variation of the stator resistance  $R_s$  and d-axis inductance  $L_d$  of three approaches, respectively.

#### 5.4. Comparative studies

The integral of absolute error (IAE) indices of each controller required in each cases are provided in Table 3, where  $IAE_x = \int_0^T |x - x^*| dt$ . The simulation time  $T=25$  s. From Table 3, it can be observed that PERPC owns the lowest IAE indices of mechanical rotation speed and d-axis current (in bold) in all cases.

**Table 3.** IAE indices of different controllers obtained in different cases (p.u.)

Case	Step change of wind speed	Random wind speed variation	Generator parameter uncertainties
<b>Controller</b>	IAE index $IAE_{\omega_m}$ of mechanical rotation speed		
VC	1.53E-01	6.52E-01	4.07E-02
FLC	1.28E-01	5.88E-01	3.41E-02
<b>PERPC</b>	<b>6.14E-02</b>	<b>2.96E-01</b>	<b>1.54E-02</b>
<b>Controller</b>	IAE index $IAE_{i_d}$ of d-axis current		
VC	1.74E-02	7.05E-03	5.11E-03
FLC	1.46E-02	4.12E-03	4.35E-03
<b>PERPC</b>	<b>8.27E-03</b>	<b>2.11E-03</b>	<b>1.27E-03</b>

**Table 4.** The overall control costs(p.u.)

Case	Step change of wind speed	Random wind speed variation	Generator parameter uncertainties
<b>Controller</b>			
VC	0.268	0.697	0.089
FLC	0.196	0.466	0.075
<b>PERPC</b>	<b>0.153</b>	<b>0.359</b>	<b>0.049</b>

At last, the overall control costs of obtained in three cases are tabulated in Table 3. As shown in Table 4, PERPC just requires the minimal

control costs in all three cases (in bold), hence it can provide the best control performance compared to that of VC and FLC.

## 6. CONCLUSION

The main novelty and contribution of this paper can be summarized as the following three aspects:

- (1) An HGSPPO is used to estimate the aggregated effect of PMSG nonlinearities, parameter uncertainties, and unmodelled dynamics, which is then fully compensated in the real-time by a passive controller. Hence, PERPC can effectively deal with various uncertainties;
- (2) An extra system damping is injected into PMSG system to improve transient dynamics via energy reshaping, which can provide an improved MPPT performance under various wind profiles;
- (3) PERPC does not require an accurate PMSG model while only the measurement of mechanical rotation speed and d-axis current is required. Hence, PERPC is quite easy to be implemented in practice.

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